

06/08/00
jc821 PTO

06-09-00

Case Docket No. **PFE-004**

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

jc530 U.S. PTO
09/590060
06/08/00

Transmitted herewith for filing is the patent application of

Inventor(s): **John Edward Pfeifer and Peter Rising**

For: **AMPOULE ANALYZER APPARATUS**

Enclosed are:

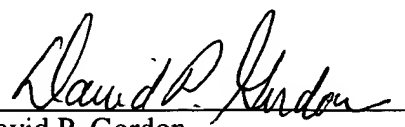
- | | | |
|-------------------------------------|---|---|
| <input type="checkbox"/> | 3 | Sheets of drawings |
| <input type="checkbox"/> | | Assignment Recordation Sheet |
| <input type="checkbox"/> | | Assignment of Invention to: |
| <input checked="" type="checkbox"/> | X | Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 |
| <input checked="" type="checkbox"/> | X | Declaration and Power of Attorney document |
| <input type="checkbox"/> | | Preliminary Amendment and Remarks |
| <input type="checkbox"/> | | Other: |

The filing fee has been calculated as shown below:

			SMALL ENTITY		OR	LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 345			\$ 690
TOTAL CLAIMS	22-20	2	X 9	18		X 18	
INDEP CLAIMS	2 - 3	0	X 39			X 78	
MULT. DEPENDENT CLAIMS PRESENTED			+130			+260	
			TOTAL \$363			TOTAL \$	

- ☒ A check in the amount of \$ **363.00** to cover the filing/assignment recordation fee is enclosed.
- ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 associated with this communication or credit any overpayment to Deposit Account No. **07-1732**. A duplicate copy of this sheet is enclosed.
- ☐ Other:

65 Woods End Road
Stamford, CT 06905
(203) 329-1160


David P. Gordon
Reg. No. 29,996

06-09-00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John Edward Pfeifer et al.

SERIAL NO.:

GROUP ART UNIT:

FILED: June 8, 2000

EXAMINER:

FOR: Ampoule Analyzer Apparatus

ATT'Y DOCKET NO.: PFE-004

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Number EL 515 487 398
Date of Deposit: June 8, 2000

I hereby certify that the attached patent application, identified above, and filing fee are being deposited, on the date indicated above, with the United States Postal Service as "Express Mail" in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

David P. Gordon
Reg. #29,996

65 Woods End Road
Stamford, CT 06905
(203) 329-1160

Docket No. PFE-004

STATEMENT CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - **INDEPENDENT INVENTOR**

As a below named inventor, I hereby state that I am an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

AMPOULE ANALYZER APPARATUS

described in ☒ the specification filed herewith
☐ application serial No. , filed
☐ patent No. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☒ no such person, concern, or organization
☐ persons, concerns or organizations listed below*

*Note: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:
ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby state that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this statement is directed.

NAME OF INVENTOR: **John Edward Pfeifer**

SIGNATURE

DATE

6/5/00

NAME OF INVENTOR: **Peter E. Rising**

SIGNATURE

DATE

06/05/2000 15:25 203329118

DAVID F GORDON

PAGE 04

Docket No. PFE-004

STATEMENT CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - **INDEPENDENT INVENTOR**

As a below named inventor, I hereby state that I am an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

AMPOULE ANALYZER APPARATUS

described in ☒ the specification filed herewith
☐ application serial No. , filed
☐ patent No. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☒ no such person, concern, or organization
☐ persons, concerns or organizations listed below*

*Note: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby state that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this statement is directed.

NAME OF INVENTOR: John Edward Pfeifer

SIGNATURE

DATE

NAME OF INVENTOR: Peter E. Rising

SIGNATURE

DATE

6/6/2000